



GLOBALG.A.P. General Regulations Specifications for GGFSA

(Based on GLOBALG.A.P. General Regulations
Version 6)

ENGLISH VERSION 3.0_SEP23

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1 INTRODUCTION

GLOBALG.A.P. general regulations specifications for add-ons create the framework within which a producer or producer group shall comply with the add-ons' principles and criteria in addition to compliance with a GLOBALG.A.P. standard. These add-ons are voluntary and mostly customer-driven. Each add-on has its own specific principles and criteria that makes it different and customized. The results of the add-on audit do not affect the audit report of the base standard.

The basis for this document is the latest GLOBALG.A.P. general regulations (rules for individual producers, rules for producer groups and multisite producers with a quality management system (QMS), and rules for plants scope), which should be consulted while reading these GLOBALG.A.P. general regulations specifications.

2 GENERAL INFORMATION FOR THIS ADD-ON

Name and version of the add-on:	GLOBALG.A.P. Farm Sustainability Assessment (GGFSA) version 3
Common name (if applicable):	GGFSA
Scope:	Plants (excluding flowers and ornamentals)
Scheme ID:	332
Application in country/countries:	All countries
Add-on observers:	The add-on status is visible to the public. There are no observer groups.
Combinable with the following base standard(s):	<input checked="" type="checkbox"/> IFA v6 Smart for plants (excluding flowers and ornamentals) <input checked="" type="checkbox"/> IFA v6 GFS for plants (excluding flowers and ornamentals) <input type="checkbox"/> IFA v6 Smart for flowers and ornamentals <input type="checkbox"/> IFA v6 Smart for aquaculture (all products unless finfish are specified) <input type="checkbox"/> IFA v6 GFS for aquaculture (all products unless finfish are specified) <input checked="" type="checkbox"/> Other, please specify: Integrated Farm Assurance (IFA) v5 (until the last date on which it may be used) and Crops for Processing (CfP) in its current version
List of normative documents:	<ol style="list-style-type: none"> 1. GLOBALG.A.P. general regulations specifications for GGFSA (this document) 2. GGFSA checklist (P&Cs and QMS) 3. FSA Implementation Framework v3.0 4. GLOBALG.A.P. Risk Assessment on Social Practice (GRASP) v2

3 GLOBALG.A.P. GENERAL REGULATIONS SPECIFICATIONS

Section (Numbering of sections 3 to 7 is based on the GLOBALG.A.P. general regulations.)	GLOBALG.A.P. general regulations specifications for GGFS A
3 CERTIFICATION OPTIONS	
Preconditions: The producer shall have a valid GLOBALG.A.P. IFA v5, IFA v6 Smart/GFS, or CfP v1.1 certificate for plants/crops. This document is compatible with IFA v6 Smart/GFS, and with CfP in its current version)	
It is possible to implement and conduct audits against the add-on for the following options (pick all applicable options):	
<input checked="" type="checkbox"/> Option 1 – individual producer <input type="checkbox"/> Option 3 – individual producer (<input type="checkbox"/> benchmarked scheme, <input type="checkbox"/> benchmarked checklist) <input checked="" type="checkbox"/> Option 1 – individual multisite producer with QMS <input type="checkbox"/> Option 3 – individual multisite producer with QMS (<input type="checkbox"/> benchmarked scheme, <input type="checkbox"/> benchmarked checklist) <input checked="" type="checkbox"/> Option 2 – producer group <input type="checkbox"/> Option 4 – producer group (<input type="checkbox"/> benchmarked scheme, <input type="checkbox"/> benchmarked checklist)	
5 REGISTRATION WITH THE CERTIFICATION BODY	
5.2 Registration process	
5.2.1 General	
Choice of certification body (CB)	<input checked="" type="checkbox"/> A finally approved CB for the base standard and/or add-on <input type="checkbox"/> A provisionally approved CB for the base standard and/or add-on <input type="checkbox"/> A verification body (VB) approved for the base standard and/or add-on The chosen CB: <input checked="" type="checkbox"/> Shall be the same CB that conducted the audit against the base standard <input type="checkbox"/> Does <i>not</i> need to be the same CB that conducted the audit against the base standard A list of GLOBALG.A.P. approved CBs (and VBs, if applicable) is available on the GLOBALG.A.P. website . Customize the search for CBs by selecting the region, country, and scope. Additional info:

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<p>Section (Numbering of sections 3 to 7 is based on the GLOBALG.A.P. general regulations.)</p>	<p>GLOBALG.A.P. general regulations specifications for GGFSA</p>
<p>Duration of the service contract</p>	<p>The duration of the service contract is agreed between the CB and the producer.</p> <p>The contract between the CB and the producer is <i>independent</i> of the contract between FoodPLUS GmbH and the owner of the add-on.</p> <p>The CB shall include this add-on as part of the GLOBALG.A.P. sublicense and certification agreement that is signed between the CB and the producer.</p> <p>Additional info: The minimum duration of the contract is one year and the maximum duration is four years.</p>
<p>Registering parts of the products as originating from GLOBALG.A.P. certified production processes (parallel ownership = PO)</p>	<p><input checked="" type="checkbox"/> PO is possible.* <input type="checkbox"/> PO is not possible.</p> <p>*For additional registration requirements, see “GLOBALG.A.P. general regulations – Rules for parallel ownership.”</p>
<p>Additional requirements regarding the registration process</p>	<p>The maximum number of producer group members in the producer group is 5,000. GLOBALG.A.P. may grant permission for exceptions to this requirement.</p>
<p>6 AUDIT PROCESS – INDIVIDUAL PRODUCERS (OPTION 1)</p>	
<p>6.1 Self-assessments</p>	
<p>General</p>	<p>Self-assessments are:</p> <p><input checked="" type="checkbox"/> Required against all the principles and criteria, and follow all the rules in the GLOBALG.A.P. general regulations <input type="checkbox"/> Not required</p> <p>Additional info: A self-assessment is conducted every three years. If the certificate holder is an Option 1 multisite producer with QMS, every production site shall conduct a self-assessment every three years. An audit against the GGFSA SMS P&Cs is conducted annually for Option 1 producers. The self-assessment may be conducted by the internal auditor.</p>

<p>Section (Numbering of sections 3 to 7 is based on the GLOBALG.A.P. general regulations.)</p>	<p>GLOBALG.A.P. general regulations specifications for GGFS A</p>
<p>6.2 CB audits</p>	
<p>Announced CB audits</p>	<p><input type="checkbox"/> Annual <input checked="" type="checkbox"/> Other, please specify: Every three years Additional info:</p>
<p>Unannounced CB audits</p>	<p><input checked="" type="checkbox"/> Together with the audit against the base standard, as per the GLOBALG.A.P. general regulations <input type="checkbox"/> Other, please specify: Additional info:</p>
<p>Off-site and on-site stages</p>	<p><input checked="" type="checkbox"/> Splitting the CB audit against the add-on is possible, as per the GLOBALG.A.P. general regulations. <input type="checkbox"/> Splitting the CB audit against the add-on is <i>not</i> possible and the audit shall be conducted on site only. Additional info:</p>
<p>Remote CB audits</p>	<p><input checked="" type="checkbox"/> Possible together with the CB audit against the base standard, as per the GLOBALG.A.P. Full Remote audit procedure <input type="checkbox"/> Not allowed Additional info:</p>
<p>CB audit duration</p>	<p>The duration of the CB audit against the add-on depends on the size of the farm and the complexity of the production activities and will be in the range of approximately: Not specified. Depends on the size and complexity of the activities – a variable number of hours.</p>
<p>CB audit timing</p>	<p><input checked="" type="checkbox"/> Together with the CB audit against the base standard, as per the GLOBALG.A.P. general regulations <input type="checkbox"/> Other, please specify:</p>
<p>6.3 Initial and subsequent CB audits</p>	
<p><input checked="" type="checkbox"/> The same as in the GLOBALG.A.P. general regulations <input type="checkbox"/> Other, please specify: Additional info: The initial CB audit may be conducted on its own, while subsequent CB audits are conducted together with the CB audit of the base standard, as defined in the GLOBALG.A.P. general regulations, every three years.</p>	

<p>Section (Numbering of sections 3 to 7 is based on the GLOBALG.A.P. general regulations.)</p>	<p>GLOBALG.A.P. general regulations specifications for GGFS A</p>
<p>6 AUDIT PROCESS – PRODUCER GROUPS (OPTION 2) OR INDIVIDUAL MULTISITE PRODUCERS WITH QMS (OPTION 1)</p>	
<p>6.1 Internal audits</p>	
<p>General</p>	<p>Internal audits are:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Required against all the principles and criteria and follow all the rules in the GLOBALG.A.P. general regulations <input checked="" type="checkbox"/> Required but follow different rules than the GLOBALG.A.P. general regulations: An audit against the GGFS A QMS P&Cs is conducted annually for Option 2 producer groups. An internal audit against the P&Cs is conducted every three years. <input type="checkbox"/> Not required <p>Additional info:</p>
<p>Internal QMS auditor requirements</p>	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> As per the GLOBALG.A.P. general regulations <input checked="" type="checkbox"/> Additional qualifications: Internal QMS auditors shall be approved for the IFA plants scope (or CfP) and for GRASP. No additional GGFS A online test is required.
<p>Internal farm auditor requirements</p>	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> As per the GLOBALG.A.P. general regulations <input type="checkbox"/> Additional qualifications: Internal farm auditors shall be approved for the IFA plants scope (or CfP) and for GRASP. No additional GGFS A online test is required.
<p>6.2 CB audits</p>	
<p>Announced CB QMS audits</p>	<ul style="list-style-type: none"> <input type="checkbox"/> Annual, as per the GLOBALG.A.P. general regulations, together with the CB audit against the base standard <input checked="" type="checkbox"/> Other, please specify: <p>Additional info: Every three years</p>
<p>Unannounced CB QMS audits</p>	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Recertification audit together with the CB audit against the base standard, as per the GLOBALG.A.P. general regulations <input type="checkbox"/> Other, please specify: <p>Additional info: Every three years</p>

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Section (Numbering of sections 3 to 7 is based on the GLOBALG.A.P. general regulations.)	GLOBALG.A.P. general regulations specifications for GGFSa
CB farm audits	<input checked="" type="checkbox"/> Together with the audit against the base standard, as per the GLOBALG.A.P. general regulations <input type="checkbox"/> Specific rules that are different from the GLOBALG.A.P. general regulations, please specify: Additional info: Every three years
Off-site and on-site stages	<input checked="" type="checkbox"/> Splitting the CB QMS audit against the add-on is possible, as per the GLOBALG.A.P. general regulations. <input type="checkbox"/> Splitting the CB QMS audit against the add-on is <i>not</i> possible and the audit shall be conducted onsite only. Additional info:
Remote CB audits	<input checked="" type="checkbox"/> Possible together with the CB audit against the base standard, as per the GLOBALG.A.P. Full Remote audit procedure <input type="checkbox"/> Not allowed <input type="checkbox"/> Additional info:
CB farm and QMS audit duration	The duration of the CB audit against the add-on depends on the size of the farm and the complexity of the production activities and will be in the range of approximately: Not specified. Depends on the size and complexity of the activities – a variable number of hours.
CB audit timing	<input type="checkbox"/> Together with the audit against the base standard, as per the GLOBALG.A.P. general regulations <input checked="" type="checkbox"/> Other, please specify: Every three years together with the audit against the base standard, as per the GLOBALG.A.P. general regulations
6.3 Initial and subsequent CB audits	
<input checked="" type="checkbox"/> The same as in the GLOBALG.A.P. general regulations <input type="checkbox"/> Other, please specify: Additional info: The initial CB audit of an Option 2 producer group or an Option 1 multisite producer with QMS (already certified to IFA v6 or CfP) against GGFSa may be conducted mid-cycle. This audit may be conducted during the CB surveillance audit, auditing the same sample of producer group members/production sites that is being audited against the base standard. Subsequent GGFSa audits shall be conducted together with the IFA recertification audit every three years.	

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Section (Numbering of sections 3 to 7 is based on the GLOBALG.A.P. general regulations.)	GLOBALG.A.P. general regulations specifications for GGFS A
Sample size: The selection of the producer group members/production sites shall follow the rules of the base standard. The producer group members/production sites in the sample for GGFS A shall be the same as those selected for the base standard.	
7 CERTIFICATION PROCESS	
7.1 Requirements for achieving GLOBALG.A.P. certification	
7.1.1 Certification rules	
Compliance system	<input type="checkbox"/> Major Musts, Minor Musts, and Recommendations <input checked="" type="checkbox"/> Other, please specify: The GGFS A P&C levels are essential, intermediate, and advanced. The P&Cs reflect these levels accordingly. Scoring: Depending on the CB audit results, the performance level is calculated based on the sheet "Score calculation" in the checklist, which is expressed as "partially equivalent," bronze, silver, or gold. There is no pass or fail result of the CB audit. Additional info:
7.1.2 Minor Must compliance calculation	
Compliance levels for CB farm audits	<input type="checkbox"/> 100% compliance with Major Musts, 95% compliance with Minor Musts <input checked="" type="checkbox"/> Other, please specify: See checklist.
Compliance levels for CB QMS audits (if applicable)	<input checked="" type="checkbox"/> 100% compliance with the QMS/SMS requirements, as per the GLOBALG.A.P. general regulations <input type="checkbox"/> Other, please specify: <input type="checkbox"/> Not applicable if a QMS is not implemented
7.1.3 Decision (whether or not to issue a letter of conformance)	
<input checked="" type="checkbox"/> The same as in the GLOBALG.A.P. general regulations regarding decision-making and the audit report <input type="checkbox"/> Different rules regarding decision-making and the audit report, please specify: <input type="checkbox"/> The same person that reviews the report may make the certification decision. <input type="checkbox"/> The same person that reviews the report <i>shall not</i> take the certification decision. Additional info:	

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<p>Section (Numbering of sections 3 to 7 is based on the GLOBALG.A.P. general regulations.)</p>	<p>GLOBALG.A.P. general regulations specifications for GGFS A</p>
<p>7.2 Letter of conformance</p> <p>Instead of a certificate, the individual producer or producer group receives a letter of conformance. See Annex II of this document.</p> <p>Additional info:</p>	
<p>7.3 Letter of conformance validity extension</p>	
<p><input checked="" type="checkbox"/> Together with the base standard, as per the GLOBALG.A.P. general regulations</p> <p><input type="checkbox"/> No extension allowed</p>	
<p>7.4.3 Sanctions</p>	
<p>Corrective action following initial CB audit</p>	<p><input type="checkbox"/> Rules for closing non-conformances follow the GLOBALG.A.P. general regulations.</p> <p><input checked="" type="checkbox"/> Different rules apply, please specify: The producer shall decide whether or not to correct the deviations. If they do not, then the performance level attained at the time of the CB audit shall stay the same. Producer groups may investigate whether the deviation does (or does not) occur on sites that were not audited. If they accept that the deviation applies to all sites in the self-assessment audit sample, this may result in a change in performance level for one or more sites in the producer group. Any complaints regarding the audit results shall be addressed through the CB's complaints procedure.</p> <p>If no agreement can be reached between the producer and the CB, escalations shall be directed to the GLOBALG.A.P. Secretariat for adjudication.</p> <p>Additional info:</p>
<p>Corrective action following subsequent CB audits</p>	<p><input checked="" type="checkbox"/> Non-conformances shall be closed within 28 calendar days, as per the GLOBALG.A.P. general regulations.</p> <p><input type="checkbox"/> Non-conformances shall be closed within x calendar days.</p> <p><input type="checkbox"/> It is not necessary to implement corrective action within a certain period of time.</p> <p>Additional info: Closing of non-conformances may not result in a more favorable performance level for the current audit, but for the subsequent ones.</p>

<p>Section (Numbering of sections 3 to 7 is based on the GLOBALG.A.P. general regulations.)</p>	<p>GLOBALG.A.P. general regulations specifications for GGFSa</p>
<p>CB REQUIREMENTS</p>	
<p>General</p>	<p><input checked="" type="checkbox"/> The GLOBALG.A.P. approved CB has:</p> <ul style="list-style-type: none"> a) Registered for the new add-on in the GLOBALG.A.P. IT systems b) Submitted a letter of intent in English to the GLOBALG.A.P. Secretariat (obsolete for registration through CB-AT) c) Paid an annual registration fee according to the GLOBALG.A.P. fee table for conducting audits against the add-on d) Assigned the add-on in CB-AT to the auditors so they can complete any applicable online tests <p><input type="checkbox"/> The CB approval process is different, please specify:</p>
<p>CB auditor approval</p>	<p><input checked="" type="checkbox"/> Auditors from GLOBALG.A.P. approved CBs that are already approved to conduct audits against accredited standards such as GLOBALG.A.P. Integrated Farm Assurance, or schemes successfully benchmarked to the specific product category</p> <p><input type="checkbox"/> Auditors from GLOBALG.A.P. approved CBs that already conduct audits against not accredited standards</p> <p><input type="checkbox"/> In addition to the IFA approval, the in-house trainer shall conduct one witness audit against the add-on.</p> <p>Additional info: The CB auditors shall be approved for the IFA plants scope (or CfP) and for GRASP. No additional GGFSa online test is required.</p>
<p>CB auditor qualifications</p>	<p>If other requirements as indicated in the GLOBALG.A.P. general regulations – Rules for certification bodies apply, please specify:</p> <p>Additionally, auditors need to be qualified and approved for GRASP.</p>
<p>CERTIFICATION INTEGRITY PROGRAM</p>	
<p>The possibility of adding the Certification Integrity Program (CIPRO) to the add-on shall be clarified</p>	<p><input type="checkbox"/> Yes</p> <p><input checked="" type="checkbox"/> No</p> <p>Additional info: In parallel with IFA. If a CB or producer is subject to a CIPRO assessment, then the integrity of their GGFSa audit shall also be verified. There will be no extra CIPRO assessments only for GGFSa.</p>

Section (Numbering of sections 3 to 7 is based on the GLOBALG.A.P. general regulations.)	GLOBALG.A.P. general regulations specifications for GGFS A
ADD-ON LOGO USE	
<input checked="" type="checkbox"/> No add-on logo available <input type="checkbox"/> A(n) add-on logo is available that shall be used as per the following rules:	
DATA ACCESS RULES	
Rules regarding data access	<input type="checkbox"/> GLOBALG.A.P. data access rules in its current version <input checked="" type="checkbox"/> Additional or other data access rules, see Annex I, "Data access rules"
FEES	
Add-on fees	<p>GLOBALG.A.P. system participation fees for this add-on shall be charged in addition to the base standard fees (see the GLOBALG.A.P. fee table). The producer shall not pay any fees directly to the supplier or service provider. Fees are payable to the CBs only.</p> <p>Add-on fees for producers, depending on the applicable scope:</p> <input type="checkbox"/> Per producer <ul style="list-style-type: none"> • Option 1 or 3: €XY per producer • Option 2 or 4 (or Option 1 or 3 with QMS): €XY per producer group or producer with QMS + €XY per producer group member or production site <input checked="" type="checkbox"/> Flat fee, please specify: 50% of the base standard registration fees, distributed in three years. See the latest fee table.
ADDITIONAL RULES	
Any additional rule(s)/requirement(s):	

ANNEX I DATA ACCESS RULES

1 INTRODUCTION

These are the data access rules for GGFSa.

- This is a public solution and is therefore visible to the public.
- This is a private solution and is therefore not visible to the public.

2 ADD-ON DATA/ADD-ON VISIBILITY

	Data access groups				
	GLOBALG.A.P. Secretariat	CB	Market participant	GGFSa observer	Public user
Add-on	x	x	x		x

“x” indicates that this data is visible to users assigned to the respective data access group (made available either by the GLOBALG.A.P. Secretariat itself or by one of its data partners).

3 COMPANY (PRODUCER/OPERATION) DATA

	Data access groups			
	GLOBALG.A.P. Secretariat	CB	Market participant	Public user
Company (producer/operation)				
Company name	x	x	x ¹⁰⁾	x ¹⁰⁾
Company address ¹⁾	x	x	x ¹⁰⁾	x
Company city	x	x	x ¹⁰⁾	x ¹⁰⁾
Company country	x	x	x	x
Company contact information ²⁾	x	x	x	
Company website (if available)	x	x	x	

	Data access groups			
	GLOBALG.A.P. Secretariat	CB	Market participant	Public user
Current GLOBALG.A.P. identification number (GGN/GLN/CoC Number/PHA-N ³⁾)	x	x	x	x
Previous GLOBALG.A.P. identification number	x	x		
Legal registration per country ⁴⁾	x	x	x	
Location ⁵⁾	x	x	x	
CB registration number ⁶⁾				
Contact person (responsible for legal entity)				
Contact name ⁷⁾	x	x	x	
Contact information ²⁾	x	x	x	
PHU/Production site information⁸⁾				
Name of product handling unit (PHU)/production site	x	x	x ¹⁰⁾	
PHU/Production site address ⁹⁾	x	x	x ¹⁰⁾	
PHU/Production site contact information ²⁾	x	x		
Sub-GLN(s)	x	x	x	x
Location ⁵⁾	x	x	x	x
Products per PHU/production site	x	x		

“x” indicates that this data is visible to users assigned to the respective data access group (made available either by the GLOBALG.A.P. Secretariat itself or by one of its data partners).

Notes

- 1) Company address includes: street address (or information available to describe the company (producer/operation) location), postal address, postal code, and state/province
- 2) Contact information includes: telephone number and email address. Mandatory for the certificate holder (Option 1 and Option 3 individual producer and Option 2 and Option 4 producer group) and voluntary for the producer group members.
- 3) GGN: GLOBALG.A.P. Number, GLN: Global Location Number, CoC Number: Chain of Custody Number, PHA-N: number for PHA standard registered operations

- 4) Legal registration for each country is mandatory for the certificate holder (Option 1 and Option 3 individual producer and Option 2 and Option 4 producer group) and for the producer group members.
- 5) Location includes geospatial coordinate information of the physical location of the site: northern/southern latitude and eastern/western longitude in decimal format (2+5 digit format, e.g., 10.12345).
- 6) Number assigned to the company (producer/operation) by the CB
- 7) Contact name includes: title, first name, and last name
- 8) The PHU/production site information is required for each production site registered by Option 1 and Option 3 individual producers and Option 2 and Option 4 producer group members. If the producer group member is a multisite producer, each production site shall be registered with geospatial coordinates. If the physical production site is different from the legal entity address, the production location shall be registered as a production site.
- 9) PHU/Production site contact address includes: street address (or information available to describe the PHU/production site location), postal address, postal code, city, and country
- 10) Data is visible for Option 1 and Option 3 individual producers. Where the standard allows group certification, data is also visible for Option 2 and Option 4 producer groups (certificate holder), but not visible by default for producer group members. Producer group member data is only visible to the respective data access group if access has been granted by the producer group member.

4 PRODUCT AND CERTIFICATION DATA

	Data access groups			
	GLOBALG.A.P. Secretariat	CB	Market participant	Public user
Product	X	X	X	X
Product status	X	X	X	X
Standard version	X	X	X	X
Certification option including multisite information	X	X	X	X
For producer groups: number of producer group members	X	X	X	X
Certificate validity date	X	X	X	X
CB	X	X	X	X
GLOBALG.A.P. certificate number	X	X	X	X

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	Data access groups			
	GLOBALG.A.P. Secretariat	CB	Market participant	Public user
Countries of destination	X	X	X	X
Quantity data ¹⁾	X	X	X	
Total area of production ⁵⁾	X	X		
Certification data ²⁾	X	X	X	X
Scope-specific certification data ³⁾	X	X	X	X
Audit checklist and result ⁴⁾	X	X		

“x” indicates that this data is visible to users assigned to the respective data access group (made available either by the GLOBALG.A.P. Secretariat itself or by one of its data partners).

Notes

¹⁾Product quantity details:

For plants: number of growing cycles for covered production; number of growing cycles for noncovered production; productive area of covered harvest in ha; productive area of noncovered harvest in ha. The productive area is the part of the production area where harvest occurs during the period covered by a valid certificate. The number of growing cycles refers to the number of crops planted on the same productive area during certificate validity.

²⁾Data includes “valid from” date of the certificate and the date of the certification decision.

³⁾Details of the recorded scope-specific certification data:

For plants: audit conducted remotely (yes/no); harvest included/excluded; product handling included; ownership/purchase of certified and noncertified products*; production of certified and noncertified products; does the producer buy certified products from external sources (if yes, additionally GGN, quantity, and unit are collected)

*The term “certified product” refers to products originating from farms with GLOBALG.A.P. certified production processes or a benchmarked equivalent

⁴⁾Audit checklist and audit details may include date of audit, audit checklist, non-conformances and non-compliances, audit notes, summary calculation, audit locations and samples, production sites/producer group members, audit duration, audit personnel, audit evidence and justifications, audit type, additional variety of the product, trade attribute or audit status

⁵⁾Details of the recorded scope-specific certification data:

For plants: The production area (total area of production) is the surface where a crop registered for certification is cultivated. It is the total covered and noncovered area of production including the noncertified area and not limited to the productive area (i.e., the area that is actually harvested within the certification cycle) per product.

ANNEX II ADD-ON LETTER OF CONFORMANCE TEMPLATE

CB logo¹

GLOBALG.A.P. identification number (GGN) xxxxxxxxxxxxxxxxxxxx²

GGFSA³

LETTER OF CONFORMANCE

According to the GLOBALG.A.P. Farm Sustainability Assessment (GGFSA)

Option X⁴

Issued to

Producer group/Individual producer
company name, address⁵

Country of production⁶

The annex contains details of the producer group members/production sites/product handling units included in the scope of this letter of conformance.⁷

The certification body [company name] declares that the production of the products mentioned on this letter of conformance has been found to be compliant in accordance with GGFSA:

GGFSA version 3⁸
Result⁹:

Product ¹⁰	Harvest included/excluded, product handling included/excluded ¹¹	Number of producer group members/production sites ¹²

Date of CB audit¹³:

Valid from: xx/xx/xxxx¹⁴

Valid to: xx/xx/xxxx¹⁵

Authorized by¹⁶

Date of approval decision: xx/xx/xxxx¹⁷

CB contact data¹⁸

Company name, address (incl. email)

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ANNEX for GLOBALG.A.P. Identification Number (GGN) xxxxxxxxxxxxxxxx²⁰

Date of CB audit: xx/xx/xxxx¹³

Producer group members (Option 2)²¹

GLOBALG.A.P. identification number/GLN²²	Producer group member name and address²³	Product(s)²⁴

Production sites (Option 1 individual multisite producer with QMS)²⁶

Production site name and address²⁷	Product(s)²⁵

Product handling units (PHUs)²⁷

GLOBALG.A.P. identification number/GLN²⁸	PHU name and address²⁹	Product(s)²⁴

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Notes

The letter of conformance (LoC) *shall be in English*. A second language may be added in the LoC.

- 1 The certification body (CB) logo shall appear on all LoCs.
- 2 The GLOBALG.A.P. identification number (GGN) shall appear on all LoCs.
- 3 Name of the add-on
- 4 The options shall appear on the LoC as follows:
 - “Option 1 – individual producer”
 - “Option 1 – individual multisite producer without QMS”
 - “Option 1 – individual multisite producer with QMS”
 - “Option 2 – producer group”
- 5 The name of the LoC holder (legal entity) and the address. The address includes that of the legal entity and that of the production site. If these are different, and there is only one site, the site address can be included on the LoC or in the annex. For individual multisite producers (with/without QMS), the addresses of the registered production sites shall be listed in the LoC annex.
- 6 The country of production shall appear on all LoCs.
- 7 Only applicable if any of the following is true:
 - a) The LoC holder is an Option 2 producer group. All producer group members shall be listed in the annex.
 - b) Product handling* or packing is included in the scope of the LoC. If the address of the PHU is different, all product packing and handling unit(s) shall be listed in the annex.
 - c) The LoC refers to an Option 1 individual multisite producer (with/without QMS). All production sites of the producer shall be listed in the annex.

*Product handling definition:

Any type of postharvest handling of products, such as storage, chemical treatment, trimming, washing, or any other handling in which a harvested product may have physical contact with other materials or substances.

- 8 Version of GGFS A
- 9 Indicate the overall level of conformance as explained in section 7.1.2 of this document.
- 10 The product that complies with all the applicable requirements.
- 11 Indicate if harvest is included/excluded; product handling included/excluded.
- 12 Applicable to Option 2 producer groups/Option 1 multisite producers (with/without QMS) including the following possible options under the scope of this add-on:
 - i. For Option 2 producer groups, the item refers to the number of producer group members registered for the add-on.
 - ii. For Option 1 multisite producers (with/without QMS), the item refers to the number of production sites.
- 13 Date the audit against the add-on was conducted

- 14 The LoC “valid from” date defines the beginning of an audit cycle. If the add-on is added mid-cycle initially, the validity date/period of the LoC shall be adjusted to match the base standard cycle.
- 15 The LoC “valid to” date is the expiry date of the LoC. If the add-on is added mid-cycle initially, the validity date/period of the LoC shall be adjusted to match the base standard cycle.
- 16 The first and the last name of the person who has authorized the LoC, written in block letters. This person shall sign the LoC.
- 17 “Date of approval decisions” shall appear on all LoCs. It is the date when the approval decision was made.
- 18 CB contact data (company name, address, email) shall appear on all LoCs.
- 19 Page numbering shall be included (Page x of y) to show the total number of pages.
- 20 The annex (incl. the GLOBALG.A.P. identification number of the certificate holder) shall be added, if applicable.
- 21 For Option 2 producer groups, all approved members of the producer group shall be listed in a table for each product.
- 22 All approved members of the Option 2 producer groups are different legal entities and receive a GLOBALG.A.P. identification number, which shall appear in the table. They may have an own GLN instead of the GLOBALG.A.P. identification number.
- 23 Name and address of the approved producer group members shall be printed on the LoC.
- 24 Products approved per producer group member, production site, or PHU.
- 25 For Option 1 multisite producers (with/without QMS), all registered sites shall be listed.
- 26 The names and addresses of the production sites shall be listed.
- 27 Where product handling takes place, all registered PHUs shall be listed.
- 28 If the PHU has its own GLOBALG.A.P. identification number/GLN, it shall be listed.
- 29 The names and addresses of the PHUs shall be listed, unless the address is the same as that of the production site.

VERSION/EDITION UPDATE REGISTER

New document	Replaced document	Date of publication	Description of modifications
230314_GG_GR_specifications_for_GGFSA_v3_0_Mar23_en	180202_GG_FSA_Specificat ion_Rules_V2.1-1_en	14 March 2023	<p>Wording was adapted throughout the document to reflect the language and spirit of FSA.</p> <p>5.3 Unannounced surveillance audits a): added wording regarding the three-year cycle.</p> <p>6.2 FSA deviations a): adapted wording to reflect the nature of an FSA self-assessment, as opposed to the usual pass or fail</p> <p>Table 1: removed as the information contained in it is also in the checklists. Changes expected if IT updates the LoC to be automatic)</p> <p>Annexes:</p> <ul style="list-style-type: none"> • Data access rules revised • Additional information and revision of the LoC
230901_GG_GR_specifications_for_GGFSA_v3_0_Sep23_en	230314_GG_GR_specifications_for_GGFSA_v3_0_Mar23_en	1 September 2023	Annex I: Removed “GGFSA observer” from the data access rules

If the changes do not introduce new requirements to the standard, the version will remain “3.0” and an edition update shall be indicated with “3.0-x”. If the changes do affect compliance with the standard, the version name will change to “3.x”.

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