GLOBALG.A.P.

NON-GM/“Ohne Gentechnik” Add-on

General Rules Specifications

ENGLISH VERSION 1.0

Valid from: 1 May 2018

TABLE OF CONTENTS

1 GENERAL BACKGROUND INFORMATION

Name and version of the Add-on:	**GLOBALG.A.P. NON-GM/"Ohne Gentechnik" Add-on for the Aquaculture and Livestock Sector V 1.0**
Scope:	IFA Aquaculture and/or Livestock, CFM, CoC (only Livestock and Aquaculture)
Scheme ID:	240
Application in country(ies):	Applicable only for countries as defined in the specific rules for this Add-on
Add-on Observers:	Not applicable
The applicable Add-on Control Points and Compliance Criteria (CPCC) name and version:	GLOBALG.A.P. NON-GM/“Ohne Gentechnik” Add-on for the Aquaculture and Livestock Sector V 1.0 Module 1: Compound Feed Manufacturing Company Module 2: Aqua or Livestock Farm / Producer Module 3: Processing Facility for Livestock/Aquaculture
Relevant links	/

2 GENERAL RULES SPECIFICATIONS

This document refers to the clauses in the GLOBALG.A.P. General Add-on Rules and defines the specific requirements for the GLOBALG.A.P. NON-GM/"Ohne Gentechnik" Add-on for the Aquaculture and Livestock sector V1.0.

Clause (Numbering based on GLOBALG.A.P. General Add-on Rules)	**Add-on General Rule**	**Specific Requirements for the GLOBALG.A.P. NON-GM/“Ohne Gentechnik” Add-on**
3. APPLICATION OPTIONS		
Preconditions:		"Producer/company must have a valid GLOBALG.A.P. certificate of (at least) one of the following scopes: ・IFA livestock ・IFA aquaculture ・Compound Feed Manufacturing (CFM) ・Chain of Custody (CoC) - livestock and/or aquaculture products Producers/companies must operate and be located in a country where one of the following applies: 1. Companies/producers operating and located in EU member states where the EU regulations no. 1829/2003 and no. 1830/2003 are mandatory and in force. 2. Companies/Producers operating and located in countries where local legislation is equivalent to these EU regulations. 3. Companies/producers operating and located in countries where the commercial cultivation and import of GMOs is not approved by the authorities of the country of production or is prohibited by law.
3.1 Option 1 - Individual Producer		Possible as under GLOBALG.A.P. IFA and for CFM and for CoC single site and multisite companies.

Clause (Numbering based on GLOBALG.A.P. General Add-on Rules)	Add-on General Rule	Specific Requirements for the GLOBALG.A.P. NON-GM/“Ohne Gentechnik” Add-on
3.1.1	Option 1 - Multisite without Implementation of a QMS	Possible as under GLOBALG.A.P. IFA and for CFM For CoC possible for all multisites (no distinction if with or without QMS).
3.1.2	Option 1 - Multisite with Implementation of a QMS	Possible only for IFA livestock and/or aquaculture producers.
3.2 Option 2 - Producer Group		Not possible
4. REGISTRATION PROCESS		
4.1 Certification Bodies		
a)	The applicant shall register with an approved Certification Body.	Applicant can choose only among CBs with GLOBALG.A.P. final approval for a) IFA livestock, IFA aquaculture, CFM and/or CoC AND b) this Add-on. Assessment Module 1 only by CBs with final CFM approval. Assessment Module 2 only by CBs with final Livestock and Aquaculture approval respectively. Assessment Module 3 only by CBs with final CoC approval. Applicant shall register with the same CB that conducts the GLOBALG.A.P. IFA/CFM/CoC inspection/audit. CB shall send a letter of intent to GLOBALG.A.P. Secretariat to apply for scope extension for this Add-on. Approval to CBs will be granted per Assessment Module. Annual Certification Body Add-on Fee applies only once independent of the number of Modules for which approval is granted.
c)	Information on approved CBs are available for every Add-On and published on the GLOBALG.A.P. website.	CBs with approval for this Add-on are listed on GLOBALG.A.P. website for the specific Module(s).
4.2 Registration		
d)	The duration of the service contract is set between the CB and the producer.	As stipulated in contract that is concluded for IFA/CFM/CoC inspections/audits (up to 4 years with subsequent renewal for periods of up to 4 years).
5. ASSESSMENT PROCESS		
5.1 Self-Assessment		
a)	Self-assessments are required in case the specific Add-On includes this requirement in the Control Points and Compliance Criteria.	Yes, self-assessment is required (included as CPCC in the respective modules).

Clause (Numbering based on GLOBALG.A.P. General Add-on Rules)	Add-on General Rule	Specific Requirements for the GLOBALG.A.P. NON-GM/"Ohne Gentechnik" Add-on
5.2 Second or Third-party Inspections		
a)	A second (an appointed organization) or third party (an independent Certification Body) shall conduct the Add-On inspections and this shall be defined in the Add-On General Rules Specifications.	Only 3rd party inspections by approved CBs as defined in 4.1 a) above are allowed to assess this Add-on. Assessment Module 1 for CFM companies Assessment Module 2 for Livestock and/or Aquaculture producers Assessment Module 3 for CoC companies
b)	The inspections shall only be carried out by the following approved parties:	
i)	CB inspectors or auditors that are already approved to conduct inspections for accredited standards such as GLOBALG.A.P. IFA, or schemes successfully benchmarked to the specific subcope.	CB inspectors or auditors approved to conduct inspections/audits for GLOBALG.A.P. IFA livestock, IFA aquaculture, CFM and/or CoC. Assessment Module 1 only by approved CFM auditors. Assessment Module 2 only by approved Livestock and/or Aquaculture inspectors/auditors (in case of livestock the inspector/auditor shall be approved for the relevant IFA sub-scope). Assessment Module 3 only by approved CoC inspectors. In addition, the inspectors/auditors (including staff responsible for taking the decision on conformance) shall participate in the NON-GM Add-on training and pass the exam (see as well below in chapter 7 - inspector qualifications).
ii)	Inspectors or auditors from GLOBALG.A.P. approved CBs conducting inspections against non-accredited standards, or	Not allowed
iii)	Licensed Farm Assurers that have approval for assessing the specific Add-On.	Not allowed
5.2.1 Option 1 – Individual Producer (without QMS)		
a)	Producer receives an annual inspection.	Yes (same as in IFA/CFM and CoC) - all production/processing sites and products shall be inspected including subcontracted activities.
b)	The duration of inspections will be part of agreement with the CB.	Minimum duration: one hour per applicable module.
c)	The timing will be clarified:	CFM/CoC: Facility(ies) shall be inspected while in operation, this applies as well for seasonal production. Livestock/Aquaculture: the inspection/audit shall be carried out after conversion to feeding with NON-GM feed. Add-on inspection shall be done in combination with GLOBALG.A.P. IFA/CFM/CoC inspection/audit - Division of inspection in off-site and on-site module as described GLOBALG.A.P. General Regulations is allowed.

Clause (Numbering based on GLOBALG.A.P. General Add-on Rules)	Add-on General Rule	Specific Requirements for the GLOBALG.A.P. NON-GM/"Ohne Gentechnik" Add-on
5.2.2 Option 1- Individual Producer with a QMS and Option 2 – Group		Option 1 multisite with QMS only applicable for Module 2 Option 2 not applicable
a)	The QMS and the producers must be inspected/audited.	Same rules as described in GLOBALG.A.P. General Regulations. Add-on requirements shall be covered by the QMS (e.g. internal inspection of applicable add-on modules).
b)	The CB does not inspect all producers, but just a sample. It is not the responsibility of the CB to determine the compliance of each producer (this responsibility rests with the applicant). The CB must assess whether the applicant's internal controls are appropriate.	Same rules as described in GLOBALG.A.P. General Regulations.
c)	The duration of inspections will be clarified:	Minimum duration per selected site: 45 minutes per applicable module.
d)	The sampling method, frequency, timing will be clarified:	Sampling method and frequency as described in GLOBALG.A.P. General Regulations. Inspection timing as described above under 5.2.1 c).
5.3 Unannounced Surveillance Inspections		
a)	It is possible that a specific Add-On program requires that producers receive unannounced surveillance inspections.	10 % unannounced surveillance inspections. Unannounced surveillance inspections also allowed in case of suspected non-conformance with add-on rules (GR and/or CPCC).
b)	If it is a requirement, 10% of the Add-On producers or groups of a CB shall be assessed annually, unless stated otherwise in the Add-On General Rules Specifications.	10 % unannounced surveillance inspections. Unannounced surveillance inspections also allowed in case of suspected non-conformance with add-on rules (GR and/or CPCC).
c)	The inspection shall be announced no longer than 48 hours in advance.	Announcement maximum 48 hours (two working days) in advance, it is allowed not to announce inspection at all especially in case of suspected non-conformance with add-on rules (GR and/or CPCC).
6. APPROVAL PROCESS		
6.1 Requirements to Achieve and Maintain Add-on Conformance		
a)	The CPCC of the Add-On program may consist of different levels; e.g. knock-out points, Major Musts, Minor Musts or Recommendations or may have a scoring system.	All CPCC of Modules 1, 2, and 3 have level 'Major Must' - inspector shall provide comments for all CPCC.
b)	For each Add-On program, the conformance rules are based on the constitution of the CPCC and shall be stipulated:	Compliance percentage required to pass the assessment: 100 % Major Musts.

Clause (Numbering based on GLOBALG.A.P. General Add-on Rules)	Add-on General Rule	Specific Requirements for the GLOBALG.A.P. NON-GM/“Ohne Gentechnik” Add-on
c)	The compliance percentage shall be calculated taking into account all the control points applicable to each site and product.	N/A
d)	In all cases, after an inspection, the calculation to show compliance (or non-compliance) must be available.	N/A
6.2 Sanctions		Same sanctioning system as described in IFA and CoC General Regulations respectively. Producer/Company has max. 28 days to correct detected non-conformances. Depending on the severity of the non-conformance the CB may shorten this time line. When product quality NON-GM/"Ohne Gentechnik" is not safeguarded an immediate suspension shall be issued.
c)	Outstanding non-conformances identified during the first inspection shall be closed within the timeframe agreed with the program owner.	Closure within maximum three months calculated from the date of inspection on (as for IFA/CFM and CoC).
6.5 Letter of Conformance and Inspection Cycle		Letter of conformance is issued by the CB that shall conform to the provided template letter (see Annex 3 - Letter of Conformance). Recognition for CFM after successful assessment of Module 1. Recognition for Livestock and/or Aquaculture after successful assessment of Module 2. Recognition for CoC after successful assessment of Module 3.
6.6 Certification Integrity Program (CIPRO)		
a)	The possibility of adding CIPRO to the Add-On program shall be clarified.	Add-on is submitted to audits by Certification Integrity Program.
7. CERTIFICATION BODY REGISTRATION RULES FOR THE ADD-ON PROGRAM		
GLOBALG.A.P. Rules Annex I.1 Rules for use of the GLOBALG.A.P. Add-On trademark and logo.	Own Add-On logo (if applicable)	Compliance with this Add-on does not automatically allow producers/companies to use the German VLOG (Verband Lebensmittel ohne Gentechnik e.V.) logo/claim. Companies that want to use the VLOG / "Ohne Gentechnik" logo shall contact VLOG directly. On-product logo use may only be requested by the processing companies. Producers and feed mills cannot use the logo/claim on their products.
GLOBALG.A.P. Rules Annex I.2 GLOBALG.A.P. Data registration requirements	In case of different types of master data as defined in the Annex I.1.	Same as for GLOBALG.A.P. IFA/CFM/CoC as defined in GR I Annex 2. Additionally annual quantity of NON-GM production shall be recorded by the CB and entered in GLOBALG.A.P. Database.

Clause (Numbering based on GLOBALG.A.P. General Add-on Rules)	**Add-on General Rule**	**Specific Requirements for the GLOBALG.A.P. NON-GM/“Ohne Gentechnik” Add-on**
Annex 2: Data Access Rights	In case of a different data release level as defined in the Annex III.	Add-on is visible to the public in GLOBALG.A.P. Database. Same Data Access Rights as defined for GLOBALG.A.P. IFA standard in document "Data Access Rules" (see Annex 2 – Data Access Rights) Letter of Conformance and completed inspection checklists shall be uploaded as pdf files by the CB in GLOBALG.A.P. Database and will be visible to those Data Access Groups as defined in Annex 2 – Data Access Rights.
Inspector Qualifications	In case of other requirements as indicated in IFA GR Part III.	Successful participation in a GLOBALG.A.P. webinar training including an online exam for each applicable Assessment Module for all inspectors/auditors (including staff responsible for taking the decision on conformance).
Fees	Any additional fees that may apply for the different Add-Ons.	Producer/Company Registration Fee: 250 EURO per Assessment Module (no Assessment License Fee) NON-GM Add-on webinar training including exam: 125 EURO per inspector/auditor and Assessment Module.
Any additional rule/requirement not mentioned in this document:		Definitions: see Annex 1 - Definitions

ANNEX 1 – DEFINITIONS

Feed:	is defined as substances or products, including additives, be it in processed, partially processed or unprocessed form, which are intended for oral feeding of animals. This includes compound feed. These terms FEED, NON-GM FEED and GM FEED are used equally for Non-EU countries where local legislation has been approved to be equivalent to Regulations (EC) No. 1829/2003 and No. 1830/2003 and to countries where the commercial cultivation and import of GMOs is not approved by the authorities of the Country of Production or prohibited by law.
Food Processing:	Food Processing comprises a significant change in structure, in appearance or in form of the original food, e.g. through cutting, heating, smoking, curing, aging, desiccating, marinating, extracting, extruding, packaging or a combination of these various processes. For Aquaculture product processing is defined by any post-harvest handling. For Livestock product processing includes the slaughter.
GM Feed:	is defined as feed that is subject to compulsory labeling as being “genetically modified” according to Regulations (EC) No. 1829/2003 and No. 1830/2003. These terms FEED, NON-GM FEED and GM FEED are used equally for non-EU countries where local legislation has been approved to be equivalent to Regulations (EC) No. 1829/2003 and No. 1830/2003 and to countries where the commercial cultivation and import of GMOs is not approved by the authorities of the Country of Production or prohibited by law.
NON-GM Feed:	is defined as feed that is not subject to compulsory labeling as “genetically modified” according to Regulations (EC) No. 1829/2003 and No. 1830/2003. These terms FEED, NON-GM FEED and GM FEED are used equally for non-EU countries where local legislation has been approved to be equivalent to Regulations (EC) No. 1829/2003 and No. 1830/2003 and to countries where the commercial cultivation and import of GMOs is not approved by the authorities of the Country of Production or prohibited by law.
NON-GM/"Ohne Gentechnik" Food:	The definition is based on the Regulations (EC) No. 1829/2003 and No. 1830/2003. Feed and food ingredients that are subject to compulsory labeling under these Regulations are not fit for the production NON-GM food. GMOs shall not be used in the production of NON-GM food. Food, ingredients and additives shall not contain or shall not be produced from GMOs. In general, adventitious or technically unavoidable traces of genetically modified material are tolerated up to a threshold of at most 0.1% per ingredient. Processing aids may not be produced by GMOs. For the production/processing of NON-GM food, no processing aids or other substances shall be used which contain, consist of or are produced from GMOs labeled in accordance with Regulation (EC) 1829/2003 or 1830/2003, or which would have to be labeled accordingly when they placed into circulation.

ANNEX 2 - DATA ACCESS RIGHTS

These are the GLOBALG.A.P. Database Access Rights as set for the NON-GM Add-On based on the GLOBALG.A.P. registration data requirements as defined in chapter 7 of these General Rules Specifications. More details e.g. on the definition of the general data access groups (e.g. GLOBALG.A.P. Staff, Certification Body) are available in the GLOBALG.A.P. Data Access Rules.

The producer/company can extend the access rights via the Certification Body.

x = marks that this data is visible to users assigned to the respective data access group.

STANDARD DATA

	Data access group			
	GLOBALG.A.P. Staff	Certification body	Market Participant	Public
Standard visibility GLOBALG.A.P. NON-GM/"Ohne Gentechnik" Add-On	**x**	**x**	**x**	**x**

PRODUCER/COMPANY DATA

	Data access group			
	GLOBALG.A.P. Staff	Certification body	Market Participant	Public
Company				
Company name	**x**	**x**	**x**	**x**
Company address [1)]	**x**	**x**	**x**	**x**
Company city	**x**	**x**	**x**	**x**
Company country	**x**	**x**	**x**	**x**
Company contact information [2)]	**x**	**x**		
GGN/GLN	**x**	**x**	**x**	**x**
Previous GGN	**x**	**x**	**x**	**x**
Legal registration per country	**x**	**x**		
Location [3)]	**x**	**x**		

	Data access group			
	GLOBALG.A.P. Staff	Certification body	Market Participant	Public
CB registration number [4)]	x	x	x	x
Contact person (responsible for legal entity)				
Contact name [5)]	x	x		
Contact information [2)]	x	x		
PHU / site information				
Name of product handling unit (PHU) / site	x	x		
PHU / site address [6)]	x	x		
PHU / site contact Information [2)]	x	x		
Sub-GLN(s)	x	x	x	x
Location [3)]	x	x		
Products per PHU / site	x	x		

[1)] Company address includes: street address (or information available to describe the producer/company location), postal address, postal code, federal state.

[2)] Contact information includes (if available): phone number, fax number, email address.

[3)] Location includes: Northern/Southern latitude + Western/Eastern longitude.

[4)] Number assigned by the Certification Body (CB) to the producer

[5)] Contact name includes: title, first name and last name.

[6)] PHU / site contact address includes: street address (or information available to describe the site / PHU location), postal address, postal code, city, and country.

PRODUCT DATA

	Data access group			
	GLOBALG.A.P. Staff	Certification body	Market Participant	Public
Product	x	x	x	x
Product status	x	x	x	x
Assessment option	x	x	x	x
Conformance validity date	x	x	x	x
Certification body	x	x	x	x
GLOBALG.A.P. certificate number	x	x	x	x
Countries of destination	x	x	x	x
Quantity data [1)]	x	x	x	
Checklist result [2)]	x	x	x	
Letter of conformance	x	x	x	

[1)] Annual quantity of NON-GM production

[2)] Assessment report details (checklist) linked to the assessment

ANNEX 3 - LETTER OF CONFORMANCE TEMPLATE FOR GLOBALG.A.P. NON-GM/"OHNE GENTECHNIK" ADD-ON

CB Logo[1]

GGN: xxxxxxxxxxxxxxxxxxx[2]
Registration number of producer/company (from CB) xxxxxxxxx[3]

Letter of Conformance

According to
GLOBALG.A.P. NON-GM/"Ohne Gentechnik" Add-on
Version x.x [4]

Issued to

Producer/Company
Producer/Company name, address [5]

Country of Production/Company location [6]

The Certification Body [Company Name] declares that the producer/company mentioned on this Letter of Conformance complies with the GLOBALG.A.P. NON-GM/"Ohne Gentechnik" Add-on Assessment Module [Name and Version] for the below mentioned product(s)/product scope.[7]

Product (Scope)[8]	Process Description[9]	Number of (Production) Sites[10]

Date of Issuing (printing date): xx/xx/xxxx[11]

Valid from: xx/xx/xxxx[12]

Valid to: xx/xx/xxxx[13]

Authorized by[14]

_____

Date of Conformance Decision: xx/xx/xxxx[15]

This Letter of Conformance is only valid as long as the GLOBALG.A.P. IFA and/or CFM and/or CoC certificate is valid[16].

The current status of this Letter of Conformance is always displayed at: http://www.globalgap.org/search[17]

CB Contact data (company name, address, email)[18]

ANNEX for Letter of Conformance - GGN xxxxxxxxxxxxxxx[19]

Date of Issuing: xx/xx/xxxx[20]

(Production) Sites[21]

Site name and address[22]

Notes

The letter of conformance shall be in English. You may add a second language in the letter.

[1] Certification Body (CB) Logo shall appear on all letters of conformance.

[2] GLOBALG.A.P. Number (GGN) shall appear on all letters of conformance. In case a holder of a letter of conformance owns a Global Location Number (GLN), this number shall replace the GGN.

[3] The registration number of a producer/company, which is assigned by the CB **may** (voluntary) appear in all letters of conformance. It consists of the CB-Short and a number (with exactly one space character between, CB-Short xxx).

[4] Always indicate the exact version (e.g. 1.0_May2018).

[5] Name of the assessed legal entity and its address shall be printed on the paper letter of conformance. The address includes that of the legal entity and of the (production) site. It these are different and there is only one (production) site, the site address can be included here or in the annex. In case of multisite producers/companies, the addresses of the registered (production) sites shall be listed in the annex of the letter of conformance.

[6] Country where the producer/company is located and operates shall be mentioned.

[7] Indicate the name of the Assessment Module and its exact version, e.g. Compound Feed Version 1.0. Product Scope applicable only for CoC

[8] Product/Product Scope mentioned in the letter of conformance shall be the same one(s) as on the IFA/CFM/CoC certificate. Product Scope applicable only for CoC where the scope of the production process shall be mentioned, e.g. CoC livestock or CoC aquaculture

[9] Applicable only for CoC module: This is a free text field that shall be used by the CB to describe the assessed process.

[10] Applicable only if there is more than one (production) site: enter the number of registered (production) sites which shall be listed in the Annex. The word 'production' may be deleted if not applicable.

[11] Date of Issuing is the printing date of the paper letter of conformance. It shall be added to the first page and to the Annex (if applicable) to connect each other.

[12] The letter of conformance "Valid from" date defines the beginning of a conformity cycle.

[13] The letter of conformance "Valid to" date is the expiry date of the validity.

[14] The first and the last name of the person who has authorized the letter of conformance, it shall be written in block letters. This person must sign the letter of conformance.

[15] "Date of Conformance Decision" shall appear on all letters of conformance.

[16] This note ("*This Letter of Conformance is only valid as long as the IFA and/or CFM and/or CoC certificate is valid*") shall be added to all paper letters of conformance to point out that the NON-GM/"Ohne Gentechnik" assessment is linked to a GLOBALG.A.P. certificate and that the expiration date will coincide with the 'valid to' date of the IFA/CFM/CoC certificate.

[17] "*The current status of this Letter of Conformance is always displayed at: http://www.globalgap.org/search*" shall be added to all paper letters of conformance to point out that only a validation in the GLOBALG.A.P. Database proves the current status of this letter of conformance.

[18] CB Contact data (company name, address, email) shall appear on all letters of conformance.

[19] The Annex (including the GGN of the holder of the letter of conformance) shall be added, if applicable.

[20] Date of issuing of the Annex shall match the date of issuing of the conformance letter (see note 11).

[21] All (production) sites shall be listed, if applicable. The word 'production' may be deleted if not applicable.

[22] Name and address of the (production) sites shall be listed, if applicable.