



GENERAL RULES SPECIFICATIONS

Integrated Farm Assurance

TR 4 Biosecurity Add-On for Bananas

ENGLISH VERSION 1.0

VALID FROM: 1 May 2017

Note: This document contains the specification rules exclusively for this Add-On. The detailed GLOBALG.A.P. Generic Add-On Rules can be viewed [here](#).

TABLE 1: GENERAL BACKGROUND INFORMATION

Name and Version of the Add-On	TR 4 BioSecurity Add-On for Bananas V1.0
Scope:	Fruit and Vegetables (Crop: Banana ID 9; Baby Banana ID 126)
Scheme ID:	206
Application in country/countries:	All
Add-On Observers:	No
The applicable Add-On Control Points and Compliance Criteria (CPCC) name and version:	TR 4 Biosecurity Add-On for Bananas CL V1.0

TABLE 2: GENERAL RULES SPECIFICATIONS

Clause	Add-On General Rule	Requirements
3. APPLICATION OPTIONS		
Preconditions:		
3.1 Option 1 - Individual Producer		
3.1.1	Option 1 - Multisite without Implementation of a QMS	Applicable as in IFA Certificate
3.1.2	Option 1 - Multisite with Implementation of a QMS	Applicable as in IFA Certificate
3.2 Option 2 - Producer Group		Applicable as in IFA Certificate
4. REGISTRATION PROCESS		
4.1 Certification Bodies		
a)	The applicant shall register with an approved Certification Body.	Shall register with an approved Certification Body, the same CB that conducts the IFA inspection. The CB needs to be approved for IFA F&V and for this Add-On.
c)	Information on approved CBs are available for every Add-On and published on the GLOBALG.A.P. website.	Finally approved (step 6) GG CB for IFA FV (including any CB of an AMC benchmarked scheme). If the Add-On is used in combination with a GLOBALG.A.P. IFA FV equivalent benchmarked scheme, the CBs accredited for equivalent benchmarked schemes need to provide a written consent by the scheme owner to the GLOBALG.A.P. Secretariat for database access.
4.2 Registration		
d)	The duration of the service contract is set between the CB and the producer.	Same contract that is concluded for the IFA inspections.

5. ASSESSMENT PROCESS		
5.1 Self Assessment		
a)	Self-assessments are required in case the specific Add-On includes this requirement in the Control Points and Compliance Criteria.	Self-assessment shall be carried out together with the IFA self-assessment.
5.2 Second or Third Party Inspections		
a)	A second (an appointed organization) or third party (an independent Certification Body) shall conduct the Add-On inspections and this shall be defined in the Add-On General Rules Specifications.	3rd party inspections only. See 4.1c
b)	The inspections shall only be carried out by the following approved parties:	N/A
i)	CB inspectors or auditors that are already approved to conduct inspections for accredited standards such as GLOBALG.A.P. IFA, or schemes successfully benchmarked to the specific subcope.	CB inspectors or auditors that are finally approved to conduct inspections for GLOBALG.A.P. IFA, or equivalent benchmarked schemes and/or AMCs. Inspectors/Auditors shall pass an on-line exam (once it becomes available).

ii)	Inspectors or auditors from GLOBALG.A.P. approved CBs conducting inspections against non-accredited standards, or	N/A
iii)	Licensed Farm Assurers that have approval for assessing the specific Add-On.	N/A
5.2.1 Option 1 – Individual Producer (without QMS)		
a)	Producer receives an annual inspection.	yes (same as IFA)
b)	The duration of inspections will be part of agreement with the CB.	yes
c)	The timing will be clarified:	As integral part of the IFA yearly audit. (approx. 3 hours additionally)
5.2.2 Option 1 – Individual Producer with a QMS and Option 2 – Group		
a)	The QMS and the producers must be inspected/audited.	Yes, same rules as for Option 1 Multisite with a QMS or Option 2 under IFA.

b)	The CB does not inspect all producers, but just a sample. It is not the responsibility of the CB to determine the compliance of each producer (this responsibility rests with the applicant). The CB must assess whether the applicant's internal controls are appropriate.	Same rules as for Option 1 Multisite with a QMS or Option 2 under IFA.
c)	The duration of inspections will be clarified:	Same rules as for Option 1 Multisite with a QMS or Option 2 under IFA.
d)	The sampling method, frequency, timing will be clarified:	Same rules as for Option 1 Multisite with a QMS or Option 2 under IFA.
5.3 Unannounced Surveillance Inspections		
a)	It is possible that a specific Add-On program requires that producers receive unannounced surveillance inspections.	If a producer is chosen for an unannounced surveillance inspection for IFA, the TR4 Add-On shall also be inspected.
b)	If it is a requirement, 10% of the Add-On producers or groups of a CB shall be assessed annually, unless stated otherwise in the Add-On General Rules Specifications.	Same rule as for IFA
c)	The inspection shall be announced no longer than 48 hours in advance.	Same rule as for IFA

6. APPROVAL PROCESS		
6.1 Requirements to achieve and maintain Add-On conformance		
a)	The CPCC of the Add-On program may consist of different levels; e.g. knock-out points, Major Musts, Minor Musts or Recommendations or may have a scoring system.	20 Major Musts 6 Recommendations
b)	For each Add-On program, the conformance rules are based on the constitution of the CPCC and shall be stipulated:	100% compliance with the Major Musts 0% compliance with the Recommendations
c)	The compliance percentage shall be calculated taking into account all the control points applicable to each site and product.	100% compliance with the Major Musts 0% compliance with the Recommendations
d)	In all cases, after an inspection, the calculation to show compliance (or non-compliance) must be available.	yes
6.2 Sanctions		
c)	Outstanding non-conformances identified during the first inspection shall be closed within the timeframe agreed with the program owner.	Non-Conformances shall be handled in the same way as for IFA (Warnings and Suspensions).
6.5 Letter of Conformance and Inspection Cycle		Letter of Conformance shall be issued.

6.6 Certification Integrity Program (CIPRO)		
a)	The possibility of adding CIPRO to the Add-On program shall be clarified	Yes, as part of an integrity assessment on a banana producing farm.
7. CERTIFICATION BODY REGISTRATION RULES FOR THE ADD-ON PROGRAM		
Annex I.1 Rules for use of the GLOBALG.A.P. Add-On trademark and logo.	Own Add-On logo (if applicable)	"GLOBALG.A.P.+" logo, same as for IFA.
Annex I.2 GLOBALG.A.P. Data registration requirements	In case of different types of master data as defined in the Annex I.1.	Same as IFA except IFA General Regulations version 5 Part I. 1.2.1 (x) (x) GIS data (geospatial coordinates to determine the assessed site) information at field/facility level is obligatory. The minimum input accuracy level shall be +/-10 m. If the producer decides to display this information to the public, the display accuracy level shall be 10 m.
Annex III: Data Access Rights	In case of a different data release level as defined in the Annex III.	Same as for IFA except IFA General Regulations version 5 Part I. PHU/Site information -> Location. The location shall be displayed additionally for market participants in a GIS format (besides GLOBALG.A.P. staff and CB administrator).
Inspector Qualifications	In case of other requirements as indicated in IFA GR Part III.	Inspectors/auditors shall pass a GLOBALG.A.P. TR 4 Biosecurity Add-On for bananas on-line exam as soon as it is available. CB shall record and have available the countries where the inspectors/auditors have been before visiting a banana plantation for inspection and they shall have a disinfection kit to ensure that no (cross-) contamination takes place.
Fees	Any additional fees that may apply for the different Add-Ons.	Fees are defined in the GLOBALG.A.P. fee table 2017 (point 2.7).
Any additional rule/requirement not mentioned in this document:		Yes, see below (next sheet: Minimal record keeping requirements for CBs).

TABLE 3: Minimal record keeping requirements for Certification Bodies (CBs)

Clause	Requirements
<p>1. Registration requirement</p>	<p>The CBs shall maintain records about each inspector/auditor’s travel log and make sure that the inspector/auditor is not the one transmitting the pathogen. These records shall be kept per each inspector/auditor visiting banana plantations and shall be subject to integrity assessments. Any format including the information below may be used to maintain records.</p> <ul style="list-style-type: none"> • Inspector/auditor name conducting TR4 Add-On banana assessment: • List of visited countries (where the inspector visited banana plantations) in chronological order: <ul style="list-style-type: none"> - List of GGNs of banana producer(s) visited by this auditor including the last day of the inspection/audit. - List of GGNs where TR4 pathogen has been identified including date of visit.
<p>2. Training scope and records</p>	<p>The CB needs to provide a training to each inspector/auditor conducting TR4 Add-On banana assessment about the decontamination procedure. The training attendance shall be recorded and confirmed by the inspector’s signature. The training shall ensure the up-to-date knowledge in the following:</p>
<p>2.1 Current list of countries where TR4 has been confirmed</p>	<p>The auditors are aware of the current countries with confirmed presence of the TR4 pathogen. The CB shall keep this country list updated. <i>As of publication of this Add-On: Taiwan, Malaysia, Indonesia, Philippines, China, Australia, Mozambique, Jordan, Pakistan and Lebanon.</i></p>
<p>2.2 Access and use of a "decontamination kit"</p>	<p>A “decontamination kit” shall include the necessary disinfecting agents and items for ensuring that all belongings of the auditor are disinfected (pathogen free) after visiting a country and/or farm where TR4 is suspected (or confirmed). The CBs auditor shall have access to a decontamination kit and shall make proper use of it at all times. The objective that shall be achieved is to ensure that auditors are not the vector of the pathogen.</p>
<p>3. Acknowledgement and commitment by the auditor to implement proper decontamination measures</p>	<p>The auditors shall acknowledge and commit to implementing proper decontamination measures. The CB shall keep a signed declaration record from each auditor thereof. Proper measures shall not only be carried out at farm level, but also before and after any travelling. The respective risk levels of the regions (countries) of destination need to be taken into consideration.</p>